



Audit Attestation for

FINA

Reference: AA2018083101

Essen, 31.08.2018

To whom it may concern,

This is to confirm that "TÜV Informationstechnik GmbH" has successfully audited the CAs of the TSP "FINA" without critical findings.

This present Audit Attestation Letter is registered under the unique identifier number "AA2018083101" and consist of 5 pages.

Kindly find here-below the details accordingly.

In case of any question, please contact:

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With best regards,

Matthias Wiedenhorst
Reviewer

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Lead Auditor

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Management Board
Dirk Kretschmar

Identification of the conformity assessment body (CAB):	TÜV Informationstechnik GmbH ¹ , Langemarckstraße 20, 45141 Essen, Germany registered under HRB 11687, Amtsgericht Essen, Germany Accredited by DAkkS under registration D-ZE-12022-01 ² for the certification of trust services according to “DIN EN ISO/IEC 17065:2013” and “ETSI EN 319 403 V2.2.2 (2015-08)”.
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Identification of the trust service provider (TSP):	FINA - Financijska agencija, Ulica grada Vukovara 70, 10000 Zagreb, Croatia, registered under No. 080422905, Commercial Court Zagreb, Croatia
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Identification of the audited Root-CA:	Fina Root CA	
	Distinguished Name	CN=Fina Root CA O= Financijska agencija C=HR
	SHA-256 fingerprint	5a b4 fc db 18 0b 5b 6a f0 d2 62 a2 37 5a 2c 77 d2 56 02 01 5d 96 64 87 56 61 1e 2e 78 c5 3a d3
	Certificate Serial number	00 98 33 c9 a8 00 00 00 00 56 54 bc 6e
	Applied policy	Policies LCP, NCP, NCP+ & OVCP of ETSI EN 319 411-1 Policies QCP-n, QCP-l, QCP-n-qscd & QCP-l-qscd of ETSI EN 319 411-2

¹ In the following termed shortly „TÜViT“

² <http://www.dakks.de/en/content/accredited-bodies-dakks?Regnr=D-ZE-12022-01-01>

The audit was performed as full period of time audit at the TSP's location in Zagreb, Croatia. It took place from 2018-06-04 until 2018-06-08 and covered the period from 2017-06-04 until 2018-06-03. The audit was performed according to the European Standards "ETSI EN 319 411-2, V2.1.1 (2016-02)", "ETSI EN 319 411-1, V1.1.1 (2016-02)" and "ETSI EN 319 401, V2.1.1 (2016-02)" as well as CA Browser Forum Requirements "Baseline Requirements, version 1.5.8" considering the requirements of the "ETSI EN 319 403, V2.2.2 (2015-08)" for the Trust Service Provider Conformity Assessment.

The audit was based on the following policy and practice statement documents of the TSP:

1. Certificate Policy and Certification Practice Statement for Fina Root CA, version 2.2, as of 2018-04-24
2. FINA – Certificate Policy for Qualified Certificates for Electronic Signatures and Seals, version 1.2, as of 2018-04-24
3. FINA – Certificate Practice Statement for Qualified Certificates for Electronic Signatures and Seals, version 1.2, as of 2018-04-24
4. FINA – Certificate Policy for Non-Qualified Certificates, version 1.1, as of 2018-04-24
5. FINA – Certificate Practice Statement for Non-Qualified Certificates, version 1.1, as of 2018-04-24
6. FINA – Certificate Policy for Certificates for Website Authentication, version 1.1, as of 2018-03-26
7. FINA – Certificate Practice Statement for Certificates for Website Authentication, version 1.1, as of 2018-03-26
8. FINA – Qualified Time-Stamp Policy, version 1.1 as of 2018-05-09
9. FINA – Qualified Time-Stamping Practice Statement, version 1.1 as of 2018-05-09

The Sub-CAs that have been issued by the aforementioned Root-CA and that have been covered by this audit are listed in table 1 below. The TSP assured that all non-revoked Sub-CA's that are technically capable of issuing server or email certificates and that have been issued by this Root-CA are in the scope of regular audits.

Identification of the Sub-CA	Distinguished Name	SHA-256 fingerprint	Certificate Serial number OID	Applied policy	Service	EKU	Validy
Fina RDC 2015	CN=Fina RDC 2015, O= Financijska agencija, C=HR	85 7b fc e4 3b 1b b4 60 1f f4 54 3b 46 d3 fb 2e 21 3b f9 b4 fe eb 6f 13 be 9e f4 5c 04 ff 6f 8b	56 aa 0b cb 00 00 00 00 56 54 bc c1	Policies LCP, NCP, NCP+ & OVCP of ETSI EN 319 411-1 Policies QCP-n, QCP-I, QCP-n-qscd & QCP-I-qscd of ETSI EN 319 411-2	encryption, electronic signature, electronic seal, server authentication, time stamping	None	2015-11-25 to 2025-11-25
Fina RDC-TDU 2015	CN=Fina RDC-TDU 2015, O= Financijska agencija, C=HR	0a af b7 83 43 b5 30 ba 06 17 c0 9a 70 ab 28 5b 30 42 59 f4 96 e0 19 af ef 84 08 f2 a6 dd 00 f3	00 bb b5 54 3f 00 00 00 00 56 54 bc c2	policy NCP+ of ETSI EN 319 411-1 Policies QCP-n, QCP-I, QCP-n-qscd & QCP-I-qscd of ETSI EN 319 411-2	encryption, electronic signature, electronic seal	None	2015-11-25 to 2025-11-25

Table 1: Sub-CA's issued by the Root-CA

Modifications record

Version	Issuing Date	Changes
Version 1	2018-08-31	Initial attestation

End of the audit attestation letter.