Audit Attestation for

**FINA**

Reference: AA2018083101

Essen, 31.08.2018

To whom it may concern,

This is to confirm that “TÜV Informationstechnik GmbH” has successfully audited the CAs of the TSP “FINA” without critical findings.

This present Audit Attestation Letter is registered under the unique identifier number “AA2018083101” and consist of 5 pages.

Kindly find here-below the details accordingly.

In case of any question, please contact:

TÜV Informationstechnik GmbH
TÜV NORD GROUP
Certification Body
Langemarckstr. 20
45141 Essen, Germany
E-Mail: certuvit@tuvit.de
Phone: +49 (0) 201 / 8999-9

With best regards,

Matthias Wiedenhorst
Reviewer

Péter Máté Erdősi
Lead Auditor
Identification of the conformity assessment body (CAB):

| TÜV Informationstechnik GmbH¹, Langemarckstraße 20, 45141 Essen, Germany registered under HRB 11687, Amtsgericht Essen, Germany Accredited by DAkkS under registration D-ZE-12022-01² for the certification of trust services according to “DIN EN ISO/IEC 17065:2013” and “ETSI EN 319 403 V2.2.2 (2015-08)”.

Identification of the trust service provider (TSP):

| FINA - Financijska agencija, Ulica grada Vukovara 70, 10000 Zagreb, Croatia, registered under No. 080422905, Commercial Court Zagreb, Croatia

Identification of the audited Root-CA:

| Fina Root CA

| Distinguished Name | CN=Fina Root CA
|                   | O= Financijska agencija
|                   | C=HR
| SHA-256 fingerprint | 5a b4 fc db 18 0b 5b 6a f0 d2 62 a2 37 5a 2c 77 d2 56 02 01 5d 96 64 87 56 61 1e 2e 78 c5 3a d3
| Certificate Serial number | 00 98 33 c9 a8 00 00 00 00 56 54 bc 6e
| Applied policy | Policies LCP, NCP, NCP+ & OVCP of ETSI EN 319 411-1
|                 | Policies QCP-n, QCP-I, QCP-n-qscd & QCP-I-qscd of ETSI EN 319 411-2

¹ In the following termed shortly „TÜViT“

This template (version 2 as of 2018-03-05) was approved for use by ACAB-c. It may only be used to without modification.
The audit was performed as full period of time audit at the TSP’s location in Zagreb, Croatia. It took place from 2018-06-04 until 2018-06-08 and covered the period from 2017-06-04 until 2018-06-03. The audit was performed according to the European Standards “ETSI EN 319 411-2, V2.1.1 (2016-02)”, “ETSI EN 319 411-1, V1.1.1 (2016-02)” and “ETSI EN 319 401, V2.1.1 (2016-02)” as well as CA Browser Forum Requirements “Baseline Requirements, version 1.5.8” considering the requirements of the “ETSI EN 319 403, V2.2.2 (2015-08)” for the Trust Service Provider Conformity Assessment.

The audit was based on the following policy and practice statement documents of the TSP:

2. FINA – Certificate Policy for Qualified Certificates for Electronic Signatures and Seals, version 1.2, as of 2018-04-24
3. FINA – Certificate Practice Statement for Qualified Certificates for Electronic Signatures and Seals, version 1.2, as of 2018-04-24
4. FINA – Certificate Policy for Non-Qualified Certificates, version 1.1, as of 2018-04-24
5. FINA – Certificate Practice Statement for Non-Qualified Certificates, version 1.1, as of 2018-04-24
6. FINA – Certificate Policy for Certificates for Website Authentication, version 1.1, as of 2018-03-26
7. FINA – Certificate Practice Statement for Certificates for Website Authentication, version 1.1, as of 2018-03-26
8. FINA – Qualified Time-Stamp Policy, version 1.1 as of 2018-05-09
9. FINA – Qualified Time-Stamping Practice Statement, version 1.1 as of 2018-05-09

The Sub-CAs that have been issued by the aforementioned Root-CA and that have been covered by this audit are listed in table 1 below. The TSP assured that all non-revoked Sub-CA’s that are technically capable of issuing server or email certificates and that have been issued by this Root-CA are in the scope of regular audits.
<table>
<thead>
<tr>
<th>Identification of the Sub-CA</th>
<th>Distinguished Name</th>
<th>SHA-256 fingerprint</th>
<th>Certificate Serial number</th>
<th>Applied policy</th>
<th>Service</th>
<th>EKU</th>
<th>Validy</th>
</tr>
</thead>
<tbody>
<tr>
<td>Fina RDC 2015</td>
<td>CN=Fina RDC 2015, O= Financijska agencija, C=HR</td>
<td>85 7b fc e4 3b 1b b4 60 1f f4 54 3b 46 d3 fb 2e 21 3b f9 b4 fe eb 6f 13 be 9e f4 5c 04 ff 6f 8b</td>
<td>56 aa 0b cb 00 00 00 00 56 54 bc c1</td>
<td>Policies LCP, NCP, NCP+ &amp; OVCP of ETSI EN 319 411-1 Policies QCP-n, QCP-I, QCP-n-qscd &amp; QCP-I-qscd of ETSI EN 319 411-2</td>
<td>encryption, electronic signature, electronic seal, server authentication, time stamping</td>
<td>None</td>
<td>2015-11-25 to 2025-11-25</td>
</tr>
<tr>
<td>Fina RDC-TDU 2015</td>
<td>CN=Fina RDC-TDU 2015, O= Financijska agencija, C=HR</td>
<td>0a af b7 83 43 b5 30 ba 06 17 c0 9a 70 ab 28 5b 30 42 59 f4 96 e0 19 af ef 84 08 f2 a6 dd 00 f3</td>
<td>00 bb b5 54 3f 00 00 00 00 56 54 bc c2</td>
<td>policy NCP+ of ETSI EN 319 411-1 Policies QCP-n, QCP-I, QCP-n-qscd &amp; QCP-I-qscd of ETSI EN 319 411-2</td>
<td>encryption, electronic signature, electronic seal</td>
<td>None</td>
<td>2015-11-25 to 2025-11-25</td>
</tr>
</tbody>
</table>

Table 1: Sub-CA’s issued by the Root-CA

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### Modifications record

<table>
<thead>
<tr>
<th>Version</th>
<th>Issuing Date</th>
<th>Changes</th>
</tr>
</thead>
<tbody>
<tr>
<td>Version 1</td>
<td>2018-08-31</td>
<td>Initial attestation</td>
</tr>
</tbody>
</table>

End of the audit attestation letter.