



Audit Attestation for

T-Systems International GmbH

Reference: AA2019013001

Essen, 30.01.2019

To whom it may concern,

This is to confirm that "TÜV Informationstechnik GmbH" has successfully audited the CAs of the "**T-Systems International GmbH**" without critical findings.

This present Audit Attestation Letter is registered under the unique identifier number "**AA2019013001**" and consist of 5 pages.

Kindly find here-below the details accordingly.

In case of any question, please contact:

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With best regards,

Dr. Anja Wiedemann
Reviewer

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Leadauditor

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Management Board
Dirk Kretzschmar

Identification of the conformity assessment body (CAB):	TÜV Informationstechnik GmbH ¹ , Langemarckstraße 20, 45141 Essen, Germany registered under HRB 11687, Amtsgericht Essen, Germany Accredited by DAkkS under registration D-ZE-12022-01 ² for the certification of trust services according to “DIN EN ISO/IEC 17065:2013” and “ETSI EN 319 403 V2.2.2 (2015-08)”.
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Identification of the trust service provider (TSP):	T-Systems International GmbH, Untere Industriestraße 20, 57250 Netphen, Germany, registered under “HRB 55933” at Amtsgericht Frankfurt am Main, Germany.
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Identification of the audited Root-CA:	T-TeleSec GlobalRoot Class 3	
	Distinguished Name	CN = T-TeleSec GlobalRoot Class 3 OU = T-Systems Trust Center O = T-Systems Enterprise Services GmbH C = DE
	SHA-256 fingerprint	fd 73 da d3 1c 64 4f f1 b4 3b ef 0c cd da 96 71 0b 9c d9 87 5e ca 7e 31 70 7a f3 e9 6d 52 2b bd
	Certificate Serial number	01
	Applied policy	EVCP of ETSI EN 319 411-1 QCP-w of ETSI EN 319 411-2

¹ In the following termed shortly „TÜViT“

² <http://www.dakks.de/en/content/accredited-bodies-dakks?Regnr=D-ZE-12022-01-01>

The audit was performed as point-in-time audit at the TSP's locations in Siegen, Netphen. It took place on 2018-11-27. The audit was performed according to the European Standards "ETSI EN 319 411-2, V2.1.1 (2016-02)", "ETSI EN 319 411-1, V1.1.1 (2016-02)" and "ETSI EN 319 401, V2.1.1 (2016-02)" as well as CA Browser Forum Requirements "EV SSL Certificate Guidelines, version 1.6.8" and "Baseline Requirements, version 1.6.1" considering the requirements of the "ETSI EN 319 403, V2.2.2 (2015-08)" for the Trust Service Provider Conformity Assessment.

The audit was based on the following policy and practice statement documents of the TSP:

1. CP/CPS TeleSec Server Pass, Version 10.0 as of 2018-10-16

The following non-conformities have been identified during the audit:

- Between 2018-01-17 and 2018-10-04 the TSP has issued qualified website authentication certificates with a wrong qcStatement. [ETSI EN 319 411-2, 6.6.1 a)] Details are documented in the following Mozilla Bug: https://bugzilla.mozilla.org/show_bug.cgi?id=1498463
- The TSP failed to revoke the affected certificates issued from TeleSec ServerPass Extended Validation Class 3 CA within the period required by BRG and EVCG. The last affected certificates have been revoked on 2018-11-12. [ETSI EN 319 411-1, 6.2.4 a)], [BRG, 4.9.1.1]
- The processes for the handling of certificate problem reports did not ensure a proper response towards the problem reporter aside from the acknowledgement of receipt of the problem report. [ETSI EN 319 411-1, 6.2.4 a)], [BRG, 4.9.5]

The Sub-CAs that have been issued by the aforementioned Root-CA and that have been covered by this audit are listed in table 1 below. The TSP assured that all non-revoked Sub-CA's that are technically capable of issuing server or email certificates and that have been issued by this Root-CA are in the scope of regular audits.

Identification of the Sub-CA	Distinguished Name	SHA-256 fingerprint	Certificate Serial number OID	Applied policy	Service	EKU	Validity
TeleSec ServerPass Extended Validation Class 3 CA	CN = TeleSec ServerPass Extended Validation Class 3 CA STREET = Untere Industriestr. 20 L = Netphen PostalCode = 57250 S = Nordrhein Westfalen OU = T-Systems Trust Center O = T-Systems International GmbH C = DE	8a 0a dd ae 4f 2c f9 d2 c2 4d 7a 49 ee d5 c8 6c 8b 1d f1 c8 5b a7 3d e5 c4 77 cb 14 fa 0d 13 e9	17 4d 2c a6 d6 32 3c 0e	EVCP, QCP-w	Server authentication	Not defined	2014-02-11 until 2024-02- 12

Table 1: Sub-CA's issued by the Root-CA

Modifications record

Version	Issuing Date	Changes
Version 1	30.01.2019	Initial attestation

End of the audit attestation letter.