Audit Attestation for

T-Systems International GmbH

Reference: AA2019013001

Essen, 30.01.2019

To whom it may concern,

This is to confirm that “TÜV Informationstechnik GmbH” has successfully audited the CAs of the “T-Systems International GmbH” without critical findings.

This present Audit Attestation Letter is registered under the unique identifier number “AA2019013001” and consist of 5 pages.

Kindly find here-below the details accordingly.

In case of any question, please contact:

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With best regards,

__________________________  ________________________
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Reviewer                  Lead auditor

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Management Board
Dirk Kretzschmar
Identification of the conformity assessment body (CAB):

TÜV Informationstechnik GmbH¹, Langemarckstraße 20, 45141 Essen, Germany registered under HRB 11687, Amtsgericht Essen, Germany Accredited by DAkkS under registration D-ZE-12022-01² for the certification of trust services according to “DIN EN ISO/IEC 17065:2013” and “ETSI EN 319 403 V2.2.2 (2015-08)”.  

Identification of the trust service provider (TSP):

T-Systems International GmbH, Untere Industriestraße 20, 57250 Netphen, Germany, registered under “HRB 55933” at Amtsgericht Frankfurt am Main, Germany.

Identification of the audited Root-CA:

| Distinguished Name | CN = T-TeleSec GlobalRoot Class 3  
|                    | OU = T-Systems Trust Center  
|                    | O = T-Systems Enterprise Services GmbH  
|                    | C = DE  
| SHA-256 fingerprint | fd 73 da d3 1c 64 4f f1 b4 3b ef 0c cd da 96 71 0b 9c d9 87 5e ca 7e 31 70 7a f3 e9 6d 52 2b bd  
| Certificate Serial number | 01  
| Applied policy | EVCP of ETSI EN 319 411-1  
|                | QCP-w of ETSI EN 319 411-2  

¹ In the following termed shortly „TÜViT“


This template (version 2 as of 2018-03-05) was approved for use by ACAB-c. It may only be used to without modification.
The audit was performed as point-in-time audit at the TSP’s locations in Siegen, Netphen. It took place on 2018-11-27. The audit was performed according to the European Standards “ETSI EN 319 411-2, V2.1.1 (2016-02)”, “ETSI EN 319 411-1, V1.1.1 (2016-02)” and “ETSI EN 319 401, V2.1.1 (2016-02)” as well as CA Browser Forum Requirements “EV SSL Certificate Guidelines, version 1.6.8” and “Baseline Requirements, version 1.6.1” considering the requirements of the “ETSI EN 319 403, V2.2.2 (2015-08)” for the Trust Service Provider Conformity Assessment.

The audit was based on the following policy and practice statement documents of the TSP:

1. CP/CPS TeleSec Server Pass, Version 10.0 as of 2018-10-16

The following non-conformities have been identified during the audit:

- Between 2018-01-17 and 2018-10-04 the TSP has issued qualified website authentication certificates with a wrong qcStatement. [ETSI EN 319 411-2, 6.6.1 a)] Details are documented in the following Mozilla Bug: https://bugzilla.mozilla.org/show_bug.cgi?id=1498463

- The TSP failed to revoke the affected certificates issued from TeleSec ServerPass Extended Validation Class 3 CA within the period required by BRG and EVCG. The last affected certificates have been revoked on 2018-11-12. [ETSI EN 319 411-1, 6.2.4 a]), [BRG, 4.9.1.1]

- The processes for the handling of certificate problem reports did not ensure a proper response towards the problem reporter aside from the acknowledgement of receipt of the problem report. [ETSI EN 319 411-1, 6.2.4 a]), [BRG, 4.9.5]

The Sub-CAs that have been issued by the aforementioned Root-CA and that have been covered by this audit are listed in table 1 below. The TSP assured that all non-revoked Sub-CA’s that are technically capable of issuing server or email certificates and that have been issued by this Root-CA are in the scope of regular audits.
<table>
<thead>
<tr>
<th>Identification of the Sub-CA</th>
<th>Distinguished Name</th>
<th>SHA-256 fingerprint</th>
<th>Certificate Serial number OID</th>
<th>Applied policy</th>
<th>Service</th>
<th>EKU</th>
<th>Validity</th>
</tr>
</thead>
<tbody>
<tr>
<td>TeleSec ServerPass Extended Validation Class 3 CA</td>
<td>CN = TeleSec ServerPass Extended Validation Class 3 CA STREET = Untere Industriestr. 20 L = Netphen PostalCode = 57250 S = Nordrhein Westfalen OU = T-Systems Trust Center O = T-Systems International GmbH C = DE</td>
<td>8a 0a dd ae 4f 2c f9 d2 c2 4d 7a 49 ee d5 c8 6c 8b 1d f1 c8 5b a7 3d e5 c4 77 cb 14 fa 0d 13 e9</td>
<td>17 4d 2c a6 d6 32 3c 0e</td>
<td>EVCP, QCP-w</td>
<td>Server authentication</td>
<td>Not defined</td>
<td>2014-02-11 until 2024-02-12</td>
</tr>
</tbody>
</table>

Table 1: Sub-CA's issued by the Root-CA
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End of the audit attestation letter.