



Audit Attestation for D-Trust GmbH

Reference: AA2019120301

Essen, 2019-12-03

To whom it may concern,

This is to confirm that "TÜV Informationstechnik GmbH" has successfully audited the CAs of the "**D-Trust GmbH**" without critical findings.

This present Audit Attestation Letter is registered under the unique identifier number "**AA2019120301**" and consist of 7 pages.

Kindly find here-below the details accordingly.

In case of any question, please contact:

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With best regards,

Dr. Silke Keller
Reviewer

Matthias Wiedenhorst
Leadauditor

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Management Board
Dirk Kretzschmar

Identification of the conformity assessment body (CAB):	TÜV Informationstechnik GmbH ¹ , Langemarckstraße 20, 45141 Essen, Germany registered under HRB 11687, Amtsgericht Essen, Germany Accredited by DAkKS under registration D-ZE-12022-01 ² for the certification of trust services according to “DIN EN ISO/IEC 17065:2013” and “ETSI EN 319 403 V2.2.2 (2015-08)”.
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Identification of the trust service provider (TSP):	D-Trust GmbH, Kommandantenstraße 15, 10969 Berlin, Germany, registered under HRB 74346 B, Amtsgericht Charlottenburg (Berlin), Germany
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Identification of the audited Root-CA:	D-TRUST Root CA 3 2013	
	Distinguished Name	CN = D-TRUST Root CA 3 2013, O = D-Trust GmbH, C = DE
	SHA-256 fingerprint	A1A86D04121EB87F027C66F53303C28E5739F943FC84B38AD6AF009035DD9457
	Certificate Serial number	0FDDAC
	Applied policy	LCP of ETSI EN 319 411-1

¹ In the following termed shortly „TÜViT“

² <http://www.dakks.de/en/content/accredited-bodies-dakks?Regnr=D-ZE-12022-01-01>

The audit was performed as full period of time audit at the TSP's location in Berlin, Germany. It took place from 2019-10-07 until 2019-10-10 and 2019-10-21 until 2019-10-24 and covered the period from 2018-10-08 until 2019-10-07. The audit was performed according to the European Standards "ETSI EN 319 411-1, V1.2.2 (2018-04)" and "ETSI EN 319 401, V2.2.1 (2018-04)" as well as CA Browser Forum Requirements "EV SSL Certificate Guidelines, version 1.7.0" and "Baseline Requirements, version 1.6.6" considering the requirements of the "ETSI EN 319 403, V2.2.2 (2015-08)" for the Trust Service Provider Conformity Assessment.

The audit was based on the following policy and practice statement documents of the TSP:

1. Certificate Policy of D-Trust GmbH, Version 3.10 as of 2019-10-23
2. Certification Practice Statement of the D-TRUST Root PKI, Version 2.8 as of 2019-10-09
3. Certification Practice Statement of the D-TRUST CSM PKI, Version 2.8 as of 2019-10-09
4. Certification Practice Statement of the D-TRUST Cloud PKI, Version 2.6 as of 2019-10-09
5. Certification Practice Statement of the E.ON SE PKI, Version 2.2 as of 2018-11-30
6. Certification Practice Statement of the UNIPER PKI, Version 2.2 as of 2018-11-30

The Sub-CAs that have been issued by the aforementioned Root-CA and that have been covered by this audit are listed in table 1 below. The TSP assured that all non-revoked Sub-CA's that are technically capable of issuing server or email certificates and that have been issued by this Root-CA are in the scope of regular audits.

No major or minor non-conformities have been identified during the audit.

Identification of the Sub-CA	Distinguished Name	SHA-256 fingerprint	Certificate Serial number OID	Applied policy	Service	EKU	Validity
D-TRUST Application Certificates CA 3-1 2013	CN = D-TRUST Application Certificates CA 3-1 2013, O = D-Trust GmbH, C = DE	CB0F7B7670EA2 B818ABE8058790 2434B30EF7A8C 0273B84884243F 89593EA630	0FE0F6	LCP of ETSI EN 319 411-1	signature, encryption, authentication	Not defined.	2013-09-27 until 2028-09-20
D-TRUST Application Certificates CA 3-2 2016	2.5.4.97 = NTRDE-HRB74346, CN = D-TRUST Application Certificates CA 3-2 2016, O = D-Trust GmbH, C = DE	7890EED59E957 43C62826398129 BC2F54AD41479 4AAC075BA6717 7332802B029	0FE48C	LCP of ETSI EN 319 411-1	signature	Not defined.	2016-11-16 until 2028-09-20
E.ON Group CA 2 2013	CN = E.ON Group CA 2 2013, O = D-Trust GmbH, C = DE	43247EF5A09A08 67BA4A7E171646 3577AAD6EFA05 7BFF763B43FD2 A979608FE2	0FE43B	LCP of ETSI EN 319 411-1	Intermediate CA	Not defined.	2013-12-17 until 2028-09-20
Uniper Group CA 2 2015	CN = Uniper Group CA 2 2015, O = D-Trust GmbH, C = DE	B4B2810E787B8 E6DBB8B0EA924 2D8E195AD5BF4 201FD98A09AED AC8B5F23FAFE	0FE44C	LCP of ETSI EN 319 411-1	Intermediate CA	Not defined.	2015-11-12 until 2028-09-20

This template (version 2.2 as of 2019-05-28) was approved for use by ACAB-c.

E.ON CA 2 2013 XXI	CN = E.ON CA 2 2013 XXI, OU = CA, O = E.ON SE, C = DE	8B1698B51BF6E F2C31C553E6FF 7A7734901806BC C87704182D2293 183348B334	101CA6	LCP of ETSI EN 319 411-1	signature, authentication	Not defined.	2013-12-17 until 2028-09-20
E.ON CA 2 2013 XXII	CN = E.ON CA 2 2013 XXII, OU = CA, O = E.ON SE, C = DE	B2B7C755C80FB E20E2134A62015 7A53B5B0724B69 47B4EED1CA9DF 7951FC5D44	101CAA	LCP of ETSI EN 319 411-1	signature, authentication	Not defined.	2013-12-17 until 2028-09-20
E.ON CA 2 2013 XXIII	CN = E.ON CA 2 2013 XXIII, OU = CA, O = E.ON SE, C = DE	99CADFF0B43B4 5405D471AB7F04 817B04925D6030 07A57CA1BABA4 8BC8721BF6	101CAB	LCP of ETSI EN 319 411-1	authentication, encryption	Not defined.	2013-12-17 until 2028-09-20
Partner CA 2 2013 XXIV	CN = Partner CA 2 2013 XXIV, OU = CA, O = D-Trust GmbH, C = DE	A099851198F66A A47D11D1FF42A 6876E7F328C221 84BC0B66559AF 5A51459511	101CAC	LCP of ETSI EN 319 411-1	signature, authentication, encryption	Not defined.	2013-12-17 until 2028-09-20
Uniper CA 2 2015 XXXI	CN = Uniper CA 2 2015 XXXI, OU = CA, O = Uniper Holding GmbH, C = DE	F471920D5679EE 48219F51CBF44F 0F22A7305332B8 69025E26050C5B ED762F72	169A5B	LCP of ETSI EN 319 411-1	signature, authentication	Not defined.	2015-11-12 until 2028-09-20

Uniper CA 2 2015 XXXII	CN = Uniper CA 2 2015 XXXII, OU = CA, O = Uniper Holding GmbH, C = DE	EFA0A2F29EABB43EAD97AD067297656088679C0B2E297C2D898C4F12C9759805	169A5F	LCP of ETSI EN 319 411-1	signature, authentication	Not defined.	2015-11-12 until 2028-09-20
Uniper CA 2 2015 XXXIII	CN = Uniper CA 2 2015 XXXIII, OU = CA, O = Uniper Holding GmbH, C = DE	79B9D31504B604293570ECCDC8A92553F937FD823380E560793987037C8B181D	169A60	LCP of ETSI EN 319 411-1	authentication, encryption	Not defined.	2015-11-12 until 2028-09-20

Table 1: Sub-CA's issued by the Root-CA

Modifications record

Version	Issuing Date	Changes
Version 1.0	2019-12-03	Initial attestation

End of the audit attestation letter.