Audit Attestation for

D-Trust GmbH

Reference: AA2019120301

Essen, 2019-12-03

To whom it may concern,

This is to confirm that “TÜV Informationstechnik GmbH” has successfully audited the CAs of the “D-Trust GmbH” without critical findings.

This present Audit Attestation Letter is registered under the unique identifier number “AA2019120301” and consist of 7 pages.

Kindly find here-below the details accordingly.

In case of any question, please contact:

TÜV Informationstechnik GmbH
TÜV NORD GROUP
Certification Body
Langemerckstr. 20
45141 Essen, Germany
E-Mail: certuvit@tuvit.de
Phone: +49 (0) 201 / 8999-9

With best regards,

Dr. Silke Keller
Reviewer

Matthias Wiedenhorst
Leadauditor
Identification of the conformity assessment body (CAB):

| TÜV Informationstechnik GmbH¹, Langemarckstraße 20, 45141 Essen, Germany registered under HRB 11687, Amtsgericht Essen, Germany Accredited by DAkkS under registration D-ZE-12022-01² for the certification of trust services according to “DIN EN ISO/IEC 17065:2013” and “ETSI EN 319 403 V2.2.2 (2015-08)”.

Identification of the trust service provider (TSP):

| D-Trust GmbH, Kommandantenstraße 15, 10969 Berlin, Germany, registered under HRB 74346 B, Amtsgericht Charlottenburg (Berlin), Germany

Identification of the audited Root-CA:

| D-TRUST Root CA 3 2013

| Distinguished Name | CN = D-TRUST Root CA 3 2013, O = D-Trust GmbH, C = DE
| SHA-256 fingerprint | A1A86D04121EB87F027C66F53303C28E5739F943FC84B38AD6AF009035DD9457
| Certificate Serial number | 0FDDAC
| Applied policy | LCP of ETSI EN 319 411-1

¹ In the following termed shortly „TÜViT“

This template (version 2.2 as of 2019-05-28) was approved for use by ACAB-c.
The audit was performed as full period of time audit at the TSP’s location in Berlin, Germany. It took place from 2019-10-07 until 2019-10-10 and 2019-10-21 until 2019-10-24 and covered the period from 2018-10-08 until 2019-10-07. The audit was performed according to the European Standards “ETSI EN 319 411-1, V1.2.2 (2018-04)” and “ETSI EN 319 401, V2.2.1 (2018-04)” as well as CA Browser Forum Requirements “EV SSL Certificate Guidelines, version 1.7.0” and “Baseline Requirements, version 1.6.6” considering the requirements of the “ETSI EN 319 403, V2.2.2 (2015-08)” for the Trust Service Provider Conformity Assessment.

The audit was based on the following policy and practice statement documents of the TSP:

2. Certification Practice Statement of the D-TRUST Root PKI, Version 2.8 as of 2019-10-09
3. Certification Practice Statement of the D-TRUST CSM PKI, Version 2.8 as of 2019-10-09
5. Certification Practice Statement of the E.ON SE PKI, Version 2.2 as of 2018-11-30
6. Certification Practice Statement of the UNIPER PKI, Version 2.2 as of 2018-11-30

The Sub-CA’s that have been issued by the aforementioned Root-CA and that have been covered by this audit are listed in table 1 below. The TSP assured that all non-revoked Sub-CA’s that are technically capable of issuing server or email certificates and that have been issued by this Root-CA are in the scope of regular audits.

No major or minor non-conformities have been identified during the audit.
<table>
<thead>
<tr>
<th>Identification of the Sub-CA</th>
<th>Distinguished Name</th>
<th>SHA-256 fingerprint</th>
<th>Certificate Serial number</th>
<th>Applied policy</th>
<th>Service</th>
<th>EKU</th>
<th>Validity</th>
</tr>
</thead>
<tbody>
<tr>
<td>D-TRUST Application Certificates CA 3-1 2013</td>
<td>CN = D-TRUST Application Certificates CA 3-1 2013, O = D-Trust GmbH, C = DE</td>
<td>CB0F7B7670EA2B818ABE80587902434B30EF7A8C0273B84884243F89593EA630</td>
<td>0FE0F6</td>
<td>LCP of ETSI EN 319 411-1</td>
<td>signature, encryption, authentication</td>
<td>Not defined.</td>
<td>2013-09-27 until 2028-09-20</td>
</tr>
<tr>
<td>D-TRUST Application Certificates CA 3-2 2016</td>
<td>2.5.4.97 = NTRDE-HRB74346, CN = D-TRUST Application Certificates CA 3-2 2016, O = D-Trust GmbH, C = DE</td>
<td>7890EED59E95743C62826398129BC2F54AD414794AAC075BA67177332802B029</td>
<td>0FE48C</td>
<td>LCP of ETSI EN 319 411-1</td>
<td>signature</td>
<td>Not defined.</td>
<td>2016-11-16 until 2028-09-20</td>
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<tr>
<td>E.ON Group CA 2 2013</td>
<td>CN = E.ON Group CA 2 2013, O = D-Trust GmbH, C = DE</td>
<td>43247EF5A09A0867BA4A7E1716463577AAD6EFA057BF763B43FD2A979608FE2</td>
<td>0FE43B</td>
<td>LCP of ETSI EN 319 411-1</td>
<td>Intermediate CA</td>
<td>Not defined.</td>
<td>2013-12-17 until 2028-09-20</td>
</tr>
<tr>
<td>Uniper Group CA 2 2015</td>
<td>CN = Uniper Group CA 2 2015, O = D-Trust GmbH, C = DE</td>
<td>B4B2810E787B8E6DBB8B0E9A242D8E195AD5BF4201FD98A09AEDAC8B5F23FAFE</td>
<td>0FE44C</td>
<td>LCP of ETSI EN 319 411-1</td>
<td>Intermediate CA</td>
<td>Not defined.</td>
<td>2015-11-12 until 2028-09-20</td>
</tr>
</tbody>
</table>

This template (version 2.2 as of 2019-05-28) was approved for use by ACAB-c.
<table>
<thead>
<tr>
<th>Company</th>
<th>CN = Company 2 2013</th>
<th>OU =</th>
<th>O = Company, C = DE</th>
<th>ETSI EN 319 411-1</th>
<th>LCP</th>
<th>signature, authentication, encryption</th>
<th>Not defined.</th>
<th>Date</th>
</tr>
</thead>
<tbody>
<tr>
<td>E.ON CA 2 2013 XXI</td>
<td>CN = E.ON CA 2 2013 XXI, OU = CA, O = E.ON SE, C = DE</td>
<td>F2C31C553E6FF 7A7734901806BC C87704182D2293 183348B334</td>
<td>LCP of ETSI EN 319 411-1</td>
<td>signature, authentication</td>
<td>Not defined.</td>
<td>2013-12-17 until 2028-09-20</td>
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<td></td>
</tr>
<tr>
<td>E.ON CA 2 2013 XXII</td>
<td>CN = E.ON CA 2 2013 XXII, OU = CA, O = E.ON SE, C = DE</td>
<td>E20E2134A62015 7A53B5B0724B69 47B4EED1CA9DF 7951FC5D44</td>
<td>LCP of ETSI EN 319 411-1</td>
<td>signature, authentication</td>
<td>Not defined.</td>
<td>2013-12-17 until 2028-09-20</td>
<td></td>
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<tr>
<td>E.ON CA 2 2013 XXIII</td>
<td>CN = E.ON CA 2 2013 XXIII, OU = CA, O = E.ON SE, C = DE</td>
<td>84BC0B66559AF 5A51459511</td>
<td>LCP of ETSI EN 319 411-1</td>
<td>authentication, encryption</td>
<td>Not defined.</td>
<td>2013-12-17 until 2028-09-20</td>
<td></td>
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<tr>
<td>Partner CA 2 2013 XXIV</td>
<td>CN = Partner CA 2 2013 XXIV, OU = CA, O = D-Trust GmbH, C = DE</td>
<td>817B04925D6030 07A57CA1BABA4 8BC8721BF6</td>
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<td>signature, authentication, encryption</td>
<td>Not defined.</td>
<td>2013-12-17 until 2028-09-20</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Uniper CA 2 2015 XXXI</td>
<td>CN = Uniper CA 2 2015 XXXI, OU = CA, O = Uniper Holding GmbH, C = DE</td>
<td>59025E26050C5B ED762F72</td>
<td>LCP of ETSI EN 319 411-1</td>
<td>signature, authentication</td>
<td>Not defined.</td>
<td>2015-11-12 until 2028-09-20</td>
<td></td>
<td></td>
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<tr>
<td>Sub-CA</td>
<td>CN</td>
<td>OU</td>
<td>O</td>
<td>C</td>
<td>LCP of ETSI EN 319 411-1</td>
<td>Signature, authentication</td>
<td>Encryption</td>
<td>Validity</td>
</tr>
<tr>
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</tr>
<tr>
<td>Uniper CA 2 2015 XXXII</td>
<td>CN = Uniper CA 2 2015 XXXII, OU = CA, O = Uniper Holding GmbH, C = DE</td>
<td>EFA0A2F29EABB43EAD97AD067297656088679C0B2E297C2D898C4F12C9759805</td>
<td>169A5F</td>
<td>LCP of ETSI EN 319 411-1</td>
<td>signature, authentication</td>
<td>Not defined.</td>
<td>2015-11-12 until 2028-09-20</td>
<td></td>
</tr>
<tr>
<td>Uniper CA 2 2015 XXXIII</td>
<td>CN = Uniper CA 2 2015 XXXIII, OU = CA, O = Uniper Holding GmbH, C = DE</td>
<td>79B9D31504B604293570ECCDC8A92553F937FD82322E0560793987037C8B181D</td>
<td>169A60</td>
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<td>authentication, encryption</td>
<td>Not defined.</td>
<td>2015-11-12 until 2028-09-20</td>
<td></td>
</tr>
</tbody>
</table>

**Table 1: Sub-CA's issued by the Root-CA**

This template (version 2.2 as of 2019-05-28) was approved for use by ACAB-c.
Modifications record

<table>
<thead>
<tr>
<th>Version</th>
<th>Issuing Date</th>
<th>Changes</th>
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<tbody>
<tr>
<td>Version 1.0</td>
<td>2019-12-03</td>
<td>Initial attestation</td>
</tr>
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End of the audit attestation letter.