Audit Attestation for

D-Trust GmbH

Reference: AA2019120304

Essen, 2019-12-03

To whom it may concern,

This is to confirm that “TÜV Informationstechnik GmbH” has successfully audited the CAs of the “D-Trust GmbH” without critical findings.

This present Audit Attestation Letter is registered under the unique identifier number “AA2019120304” and consist of 5 pages.

Kindly find here-below the details accordingly.

In case of any question, please contact:

TÜV Informationstechnik GmbH
TÜV NORD GROUP
Certification Body
Langemarckstr. 20
45141 Essen, Germany
E-Mail: certuvit@tuvit.de
Phone: +49 (0) 201 / 8999-9

With best regards,

__________________________   __________________________
Dr. Silke Keller             Matthias Wiedenhorst
Reviewer                   Lead Auditor
<table>
<thead>
<tr>
<th>Identification of the conformity assessment body (CAB):</th>
<th>TÜV Informationstechnik GmbH(^1), Langemarckstraße 20, 45141 Essen, Germany registered under HRB 11687, Amtsgericht Essen, Germany Accredited by DAkkS under registration D-ZE-12022-01(^2) for the certification of trust services according to “DIN EN ISO/IEC 17065:2013” and “ETSI EN 319 403 V2.2.2 (2015-08)”.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Identification of the trust service provider (TSP):</td>
<td>D-Trust GmbH, Kommandantenstraße 15, 10969 Berlin, Germany, registered under HRB 74346 B, Amtsgericht Charlottenburg (Berlin), Germany</td>
</tr>
<tr>
<td>Identification of the audited Root-CA:</td>
<td>Comodo RSA Certification Authority</td>
</tr>
<tr>
<td>Distinguished Name</td>
<td>CN = Comodo RSA Certification Authority, O = Comodo CA Limited, L = Salford, S = Greater Manchester, C = GB</td>
</tr>
<tr>
<td>SHA-256 fingerprint</td>
<td>52F0E1C4E58EC629291B60317F074671B85D7EA80D5B07273463534B32B40234</td>
</tr>
<tr>
<td>Certificate Serial number</td>
<td>4CAAF9CADB636FE01FF74ED85B03869D</td>
</tr>
<tr>
<td>Applied policy</td>
<td>LCP of ETSI EN 319 411-1</td>
</tr>
</tbody>
</table>

\(^1\) In the following termed shortly „TÜViT“

\(^2\) http://www.dakks.de/en/content/accredited-bodies-dakks?Regnr=D-ZE-12022-01-01

This template (version 2.2 as of 2019-05-28) was approved for use by ACAB-c.
The audit was performed as full period of time audit at the TSP’s location in Berlin, Germany. It took place from 2019-10-07 until 2019-10-10 and 2019-10-21 until 2019-10-24 and covered the period from 2018-10-08 until 2019-10-07. The audit was performed according to the European Standards “ETSI EN 319 411-1, V1.2.2 (2018-04)” and “ETSI EN 319 401, V2.2.1 (2018-04)” as well as CA Browser Forum Requirements “EV SSL Certificate Guidelines, version 1.7.0” and “Baseline Requirements, version 1.6.6” considering the requirements of the “ETSI EN 319 403, V2.2.2 (2015-08)” for the Trust Service Provider Conformity Assessment.

The audit was based on the following policy and practice statement documents of the TSP:

2. Certification Practice Statement of the D-TRUST Root PKI, Version 2.8 as of 2019-10-09 valid from 2019-11-12, D-TRUST
3. Certification Practice Statement of the D-TRUST CSM PKI, Version 2.8 as of 2019-10-09 valid from 2019-11-12, D-TRUST

The Sub-CAs that have been issued by the aforementioned Root-CA and that have been covered by this audit are listed in table 1 below. The Root CA “Comodo RSA Certification Authority” is not operated by D-TRUST GmbH and has not been in the scope of this audit. Only the mentioned Issuing CA “D-TRUST CA 2-1 2015” is covered by this audit attestation.

No major or minor non-conformities have been identified during the audit.
<table>
<thead>
<tr>
<th>Identification of the Sub-CA</th>
<th>Distinguished Name</th>
<th>SHA-256 fingerprint</th>
<th>Certificate Serial number OID</th>
<th>Applied policy</th>
<th>Service</th>
<th>EKU</th>
<th>Validy</th>
</tr>
</thead>
<tbody>
<tr>
<td>D-TRUST CA 2-1 2015</td>
<td>CN = D-TRUST CA 2-1 2015 O = D-Trust GmbH L = Berlin C = DE</td>
<td>081998D3D9F7E4CD9DA6A429470BF5D44280325244FA0E01EE5F972CA19F4852</td>
<td>2CC67B9269E87F770D71C0E49F22E3E</td>
<td>LCP of ETSI EN 319 411-1</td>
<td>signature, encryption, authentication</td>
<td>id-kp-clientAuth 1.3.6.1.5.5.7.3.2 emailProtection 1.3.6.1.5.5.7.3.4</td>
<td>2015-06-15 to 2020-06-14</td>
</tr>
</tbody>
</table>

Table 1: Sub-CA’s issued by the Root-CA

This template (version 2.2 as of 2019-05-28) was approved for use by ACAB-c.
Modifications record

<table>
<thead>
<tr>
<th>Version</th>
<th>Issuing Date</th>
<th>Changes</th>
</tr>
</thead>
<tbody>
<tr>
<td>Version 1.0</td>
<td>2019-12-03</td>
<td>Initial attestation</td>
</tr>
</tbody>
</table>

End of the audit attestation letter.