



Audit Attestation for D-Trust GmbH

Reference: AA2020120304

Essen, 2020-12-03

To whom it may concern,

This is to confirm that "TÜV Informationstechnik GmbH" has audited the CAs of "D-Trust GmbH" without critical findings.

This present Audit Attestation Letter is registered under the unique identifier number "AA2020120304" and consists of 5 pages.

Kindly find here-below the details accordingly.

In case of any question, please contact:

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With best regards,

Dr. Silke Keller
Reviewer

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Leadauditor

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Management Board
Dirk Kretzschmar

Identification of the conformity assessment body (CAB):	TÜV Informationstechnik GmbH ¹ , Langemarckstraße 20, 45141 Essen, Germany registered under HRB 11687, Amtsgericht Essen, Germany Accredited by DAkKS under registration D-ZE-12022-01 ² for the certification of trust services according to “DIN EN ISO/IEC 17065:2013” and “ETSI EN 319 403 V2.2.2 (2015-08)”.	
Identification of the trust service provider (TSP):	D-TRUST GmbH, Kommandantenstraße 15, 10969 Berlin, Germany, registered under HRB 74346 B, Amtsgericht Charlottenburg (Berlin), Germany	
Identification of the audited Root-CA:	D-TRUST BR Root CA 1 2020	
	Distinguished Name	C=DE, O=D-Trust GmbH, CN=D-TRUST BR Root CA 1 2020
	SHA-256 fingerprint	E59AAA816009C22BFF5B25BAD37DF306F049797C1F81D85AB089E657BD8F0044
	Applied policy	ETSI EN 319 411-1 V1.2.2, DVCP ETSI EN 319 411-1 V1.2.2, OVCP (Only with regard to key generation and protection requirements)

¹ In the following termed shortly „TÜViT“

² <http://www.dakks.de/en/content/accredited-bodies-dakks?Regnr=D-ZE-12022-01-01>

The audit was performed as full period of time audit at the TSP's location in Berlin, Germany. It took place from 2020-09-28 until 2020-10-15 and covered the period from 2020-02-11 until 2020-10-07. Additionally, a key ceremony for Sub-CA generation was witnessed on 2020-11-24. The audit was performed according to the European Standards "ETSI EN 319 411-1, V1.2.2 (2018-04)" and "ETSI EN 319 401, V2.2.1 (2018-04)" as well as CA Browser Forum Requirements "Baseline Requirements, version 1.7.2" considering the requirements of the "ETSI EN 319 403, V2.2.2 (2015-08)" for the Trust Service Provider Conformity Assessment.

The audit was based on the following policy and practice statement documents of the TSP:

1. Certificate Policy of D-TRUST GmbH, version 4.0 as of 2020-11-10, valid from 2020-11-12
2. TSPS – Trust Service Practice Statement D-TRUST, version 1.0 as of 2020-11-10, valid from 2020-11-12
3. Certification Practice Statement of the D-TRUST Root PKI, version 3.0 as of 2020-11-10, valid from 2020-11-12,
4. Certification Practice Statement of the D-TRUST CSM PKI, Version 3.0 as of 2020-11-10, valid from 2020-11-12

The TSP named the Sub-CAs that have been issued by the aforementioned Root-CA, that are listed in table 1 and that have been issued during the key ceremony on 2020-11-24.

No major or minor non-conformities have been identified during the audit.

To the best of our knowledge, no incidents have been occurred within D-TRUST BR Root CA 1 2020 hierarchy.

Distinguished Name	SHA-256 fingerprint	Applied policy	EKU
C=DE, O=D-Trust GmbH, CN=D-TRUST BR CA 1-20-1 2020	199AB2AAAFF40401E0A3B7B87 EE9964659E9FA94A1FECBE918 AE136E4B4E0A8	ETSI EN 319 411-1 V1.2.2, OVCP (Only with regard to key generation and protection requirements)	id-kp-serverAuth (1.3.6.1.5.5.7.3.1) id-kp-clientAuth (1.3.6.1.5.5.7.3.2)
C=DE, O=D-Trust GmbH, CN=D-TRUST BR CA 1-20-2 2020	B268D16934AB5BA232F179CD9F 5C7FC07EA8583A56A9A7C1D6C B58FE0823BF5A	ETSI EN 319 411-1 V1.2.2, DVCP (Only with regard to key generation and protection requirements)	id-kp-serverAuth (1.3.6.1.5.5.7.3.1) id-kp-clientAuth (1.3.6.1.5.5.7.3.2)

Table 1: Sub-CA's issued by the Root-CA or its Sub-CA's

Modifications record

Version	Issuing Date	Changes
Version 1.0	2020-12-03	Initial attestation

End of the audit attestation letter.