

The certification body of TÜV Informationstechnik GmbH  
hereby awards this certificate to the company

**D-Trust GmbH**  
**Kommandantenstraße 15**  
**10969 Berlin, Germany**

to confirm that its trust service

**D-TRUST Advanced CA's**

fulfils all requirements defined in the standard (EN)

**ETSI EN 319 411-1 V1.2.2 (2018-04),  
policy LCP.**

The appendix to the certificate is part of the certificate and  
consists of 5 pages.

The certificate is valid only in conjunction with the evaluation  
report.



Certificate ID: 67134.20

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Certificate validity:  
2020-11-27 – 2022-11-30

Essen, 2020-11-27

Dr. Christoph Sutter  
Head of Certification Body

**TÜV Informationstechnik GmbH**  
TÜV NORD GROUP  
Langemarckstr. 20  
45141 Essen, Germany  
www.tuvit.de



**Certificate**

## Certification Scheme

The certification body of TÜV Informationstechnik GmbH is accredited by “DAkKS Deutsche Akkreditierungsstelle GmbH” according to EN ISO/IEC 17065 for the scopes IT security and security technology product certification. The certification body performs its certification on the basis of the following accredited certification system:

- “Certification System (accredited scope) of the certification body of TÜV Informationstechnik GmbH”, version 2.1 as of 2020-03-01, TÜV Informationstechnik GmbH

## Evaluation Report

- “Audit Report – Re-Certification – ETSI EN 319 411-1, TUVIT-CA67134, D-TRUST Advanced CA’s“, version 2.0 as of 2020-11-11, TÜV Informationstechnik GmbH

## Evaluation Requirements

The evaluation requirements are defined in the standard ETSI EN 319 411-1

- ETSI EN 319 411-1 V1.2.2 (2018-04): „Electronic Signatures and Infrastructures (ESI); Policy and security requirements for Trust Service Providers issuing certificates; Part 1: General requirements“, Version 1.2.2, 2018-04, European Telecommunications Standards Institute

The applicable ETSI Certificate Policy is:

- LCP: Lightweight Certificate Policy

## Evaluation Target

The target of evaluation is characterized by the certificate information of the inspected trust service:

### D-TRUST Advanced CA's:

D-TRUST CAs:

<b>Issuer of CA certificate (Root CA or Intermediate CA):</b> <b>CN = D-TRUST Root CA 3 2013</b> <b>Certificate Serial Number: 0FDDAC</b>	
<b>Name of CA (as in certificate)</b>	<b>serial number of certificate</b>
CN = D-TRUST Application Certificates CA 3-1 2013	0FE0F6

E.ON CAs:

<b>Issuer of CA certificate (Root CA or Intermediate CA):</b> <b>CN = E.ON Group CA 2 2013</b> <b>Certificate Serial Number: 0FE43B</b>	
<b>Name of CA (as in certificate)</b>	<b>serial number of certificate</b>
CN = E.ON CA 2 2013 XXI	101CA6
CN = E.ON CA 2 2013 XXII	101CAA
CN = E.ON CA 2 2013 XXIII	101CAB
CN = Partner CA 2 2013 XXIV	101CAC

Uniper CAs:

<b>Issuer of CA certificate (Root CA or Intermediate CA):</b> <b>CN = Uniper Group CA 2 2015</b> <b>Certificate Serial Number: OFE44C</b>	
<b>Name of CA (as in certificate)</b>	<b>serial number of certificate</b>
CN = Uniper CA 2 2015 XXXI	169A5B
CN = Uniper CA 2 2015 XXXII	169A5F
CN = Uniper CA 2 2015 XXXIII	169A60

<b>Issuer of CA certificate (Root CA or Intermediate CA):</b> <b>CN = Uniper Group CA 3 2020</b> <b>Certificate Serial Number:</b> <b>7699D2C8D20FDF8573BB CD9B7F689FA1</b>	
<b>Name of CA (as in certificate)</b>	<b>serial number of certificate</b>
CN = Uniper CA 3 2020 XXXI	652417202394B 820A7ABB8DA7 8C11DA4
CN = Uniper CA 3 2020 XXXII	7A49330A8FEA5 6164ACE5E0CAF 949F82
CN = Uniper CA 3 2020 XXXIII	770C4C750F9C3 4CD8F57565555 FB7D80

together with the documentation of the operator:

- Certificate Policy (CP) of D-Trust GmbH, Version 4.0 as of 2020-11-10, valid from 2020-11-12, D-TRUST
- TSPS – Trust Service Practice Statement D-TRUST, version 1.0 as of 2020-11-10, valid from 2020-11-12, D-TRUST

- Certification Practice Statement of the D-TRUST Root PKI, version 3.0 as of 2020-11-10, valid from 2020-11-12, D-TRUST
- Certification Practice Statement of the D-TRUST CSM PKI, version 3.0 as of 2020-11-10, valid from 2020-11-12 D-TRUST
- Certification Practice Statement of the E.ON SE PKI, version 2.4 as of 2020-04-27, valid from 2020-10-30, D-TRUST
- Certification Practice Statement of the Uniper PKI, version 2.4 as of 2020-04-27, valid from 2020-04-28, D-TRUST
- Allgemeine Geschäftsbedingungen der D-TRUST GmbH, version as of September 2019, D-TRUST

### **Evaluation Result**

- The target of evaluation fulfills all applicable evaluation requirements.
- The certification requirements defined in the certification system are fulfilled.

## **Summary of the Evaluation Requirements**

ETSI EN 319 411-1 contains requirements for Trust Service Providers practice under the following headlines:

- 1 Publication and repository responsibilities**
- 2 Identification and authentication**
- 3 Certificate Life-Cycle operational requirements**
- 4 Facility, management, and operational controls**
- 5 Technical security controls**
- 6 Certificate, CRL, and OCSP profiles**
- 7 Compliance audit and other assessment**
- 8 Other business and legal matters**
- 9 Other provisions**