Audit Attestation for

D-TRUST GmbH

Reference: AA2018120701

Essen, 2018-12-07

To whom it may concern,

This is to confirm that “TÜV Informationstechnik GmbH" has successfully audited the CAs of the “D-TRUST GmbH" without critical findings.

This present Audit Attestation Letter is registered under the unique identifier number “AA2018120701" and consist of 5 pages.

Kindly find here-below the details accordingly.

In case of any question, please contact:

TÜV Informationstechnik GmbH
TÜV NORD GROUP
Certification Body
Langemarckstr. 20
45141 Essen, Germany
E-Mail: certuvit@tuvit.de
Phone: +49 (0) 201 / 8999-9

With best regards,

Dr. Silke Keller
Reviewer

Matthias Wiedenhorst
Leadauditor
Identification of the conformity assessment body (CAB):

| TÜV Informationstechnik GmbH¹, Langemarckstraße 20, 45141 Essen, Germany registered under HRB 11687, Amtsgericht Essen, Germany Accredited by DAkkS under registration D-ZE-12022-01² for the certification of trust services according to “DIN EN ISO/IEC 17065:2013” and “ETSI EN 319 403 V2.2.2 (2015-08)”.

Identification of the trust service provider (TSP):

| D-TRUST GmbH, Kommandantenstraße 15, 10969 Berlin, Germany, registered under HRB 74346 B, Amtsgericht Charlottenburg (Berlin), Germany

| Identification of the audited Root-CA:

| D-TRUST Root Class 3 CA 2 EV 2009

| Distinguished Name | CN = D-TRUST Root Class 3 CA 2 EV 2009, O = D-Trust GmbH, C = DE
| SHA-256 fingerprint | ee c5 49 6b 98 8c e9 86 25 b9 34 09 2e ec 29 08 be d0 b0 f3 16 c2 d4 73 0c 84 ea f1 f3 d3 48 81
| Certificate Serial number | 09 83 f4
| Applied policy | NCP and EVCP of ETSI EN 319 411-1 QCP-w of ETSI EN 319 411-2

¹ In the following termed shortly „TÜViT“

This template (version 2 as of 2018-03-05) was approved for use by ACAB-c. It may only be used to without modification.
The audit was performed as full period of time audit at the TSP’s location in Berlin, Germany. It took place from October 8th 2018 until October 24th 2018 as well as on November 19th, 2018 and covered the period from October 8th 2017 until October 7th 2018. The audit was performed according to the European Standards "ETSI EN 319 411-2, V2.1.1 (2016-02)", “ETSI EN 319 411-1, V1.1.1 (2016-02)” and “ETSI EN 319 401, V2.1.1 (2016-02)” as well as CA Browser Forum Requirements “EV SSL Certificate Guidelines, version 1.6.8” and “Baseline Requirements, version 1.6.0” considering the requirements of the “ETSI EN 319 403, V2.2.2 (2015-08)” for the Trust Service Provider Conformity Assessment.

The audit was based on the following policy and practice statement documents of the TSP:

2. Certification Practice Statement of the D-TRUST Root PKI, Version 2.3 as of 2018-07-05
3. Certification Practice Statement of the D-TRUST CSM PKI, Version 2.3 as of 2018-07-05

The following non-critical finding was identified:

- The TSP shall improve the process and its documentation of a regular, at least yearly CP/CPS review. [ETSI EN 319 401, 6.1 f]]
- On 2018-06-02, one certificate had been issued with syntax error. Details are documented in https://bugzilla.mozilla.org/show_bug.cgi?id=1509512.

The Sub-CAs that have been issued by the aforementioned Root-CA and that have been covered by this audit are listed in table 1 below. The TSP assured that all non-revoked Sub-CA’s that are technically capable of issuing server or email certificates and that have been issued by this Root-CA are in the scope of regular audits.
### Table 1: Sub-CA’s issued by the Root-CA

<table>
<thead>
<tr>
<th>Identification of the Sub-CA</th>
<th>Distinguished Name</th>
<th>SHA-256 fingerprint</th>
<th>Certificate Serial number</th>
<th>Applied policy</th>
<th>Service</th>
<th>EKU</th>
<th>Validy</th>
</tr>
</thead>
<tbody>
<tr>
<td>D-TRUST CA 2-2 EV 2016</td>
<td>2.5.4.97 = NTRDE-HRB74346, CN = D-TRUST CA 2-2 EV 2016, O = D-Trust GmbH, C = DE</td>
<td>23 16 d0 5a 2e 2d 34 7f a1 41 13 5b 98 ed 09 f5 6e 81 f1 cf 56 79 79 3d 3b 39 dd 6d 8e 46 1a 48</td>
<td>0f e4 8e</td>
<td>QCP-w of ETSI EN 319 411-2</td>
<td>website authentication</td>
<td>not defined</td>
<td>2016-11-16 until 2029-11-05</td>
</tr>
<tr>
<td>D-TRUST SSL Class 3 CA 1 EV 2009</td>
<td>CN = D-TRUST SSL Class 3 CA 1 EV 2009, O = D-Trust GmbH, C = DE</td>
<td>b0 93 5d c0 4b 4e 60 c0 c4 2d ef 7e c5 7a 1b 1d 8f 95 8d 17 98 8e 71 cc 80 a8 cf 5e 63 5b a5 b4</td>
<td>09 90 64</td>
<td>NCP and EVCP of ETSI EN 319 411-1</td>
<td>website authentication</td>
<td>not defined</td>
<td>2009-11-12 until 2029-11-05</td>
</tr>
</tbody>
</table>

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## Modifications record

<table>
<thead>
<tr>
<th>Version</th>
<th>Issuing Date</th>
<th>Changes</th>
</tr>
</thead>
<tbody>
<tr>
<td>Version 1</td>
<td>2018-12-07</td>
<td>Initial attestation</td>
</tr>
</tbody>
</table>

End of the audit attestation letter.