



## Audit Attestation for

### D-TRUST GmbH

**Reference: AA2018120702**

Essen, 2018-12-07

To whom it may concern,

This is to confirm that "TÜV Informationstechnik GmbH" has successfully audited the CAs of the "**D-TRUST GmbH**" without critical findings.

This present Audit Attestation Letter is registered under the unique identifier number "**AA2018120702**" and consist of 5 pages.

Kindly find here-below the details accordingly.

In case of any question, please contact:

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With best regards,

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Dr. Silke Keller  
Reviewer

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Matthias Wiedenhorst  
Leadauditor

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Management Board  
Dirk Kretzschmar

Identification of the conformity assessment body (CAB):	TÜV Informationstechnik GmbH <sup>1</sup> , Langemarckstraße 20, 45141 Essen, Germany registered under HRB 11687, Amtsgericht Essen, Germany Accredited by DAkkS under registration D-ZE-12022-01 <sup>2</sup> for the certification of trust services according to “DIN EN ISO/IEC 17065:2013” and “ETSI EN 319 403 V2.2.2 (2015-08)”.
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Identification of the trust service provider (TSP):	D-TRUST GmbH, Kommandantenstraße 15, 10969 Berlin, Germany, registered under HRB 74346 B, Amtsgericht Charlottenburg (Berlin), Germany
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Identification of the audited Root-CA:	D-TRUST Root Class 3 CA 2 2009	
	Distinguished Name	CN = D-TRUST Root Class 3 CA 2 2009, O = D-Trust GmbH, C = DE
	SHA-256 fingerprint	49 e7 a4 42 ac f0 ea 62 87 05 00 54 b5 25 64 b6 50 e4 f4 9e 42 e3 48 d6 aa 38 e0 39 e9 57 b1 c1
	Certificate Serial number	09 83 f3
	Applied policy	LCP and OVCP of ETSI EN 319 411-1

<sup>1</sup> In the following termed shortly „TÜViT“

<sup>2</sup> <http://www.dakks.de/en/content/accredited-bodies-dakks?Regnr=D-ZE-12022-01-01>

The audit was performed as full period of time audit at the TSP's location in Berlin, Germany. It took place from October 8<sup>th</sup> 2018 until October 24<sup>th</sup> 2018 as well as on November 19<sup>th</sup>, 2018 and covered the period from October 8<sup>th</sup> 2017 until October 7<sup>th</sup> 2018. The audit was performed according to the European Standards "ETSI EN 319 411-1, V1.1.1 (2016-02)" and "ETSI EN 319 401, V2.1.1 (2016-02)" as well as CA Browser Forum Requirements "Baseline Requirements, version 1.6.0" considering the requirements of the "ETSI EN 319 403, V2.2.2 (2015-08)" for the Trust Service Provider Conformity Assessment.

The audit was based on the following policy and practice statement documents of the TSP:

1. Certificate Policy of D-TRUST GmbH, Version 3.5 as of 2018-07-05
2. Certification Practice Statement of the D-TRUST Root PKI, Version 2.3 as of 2018-07-05
3. Certification Practice Statement of the D-TRUST CSM PKI, Version 2.3 as of 2018-07-05

The following non-critical finding was identified:

- The TSP shall improve the process and its documentation of a regular, at least yearly CP/CPS review. [ETSI EN 319 401, 6.1 f)]]

The Sub-CAs that have been issued by the aforementioned Root-CA and that have been covered by this audit are listed in table 1 below. The TSP assured that all non-revoked Sub-CA's that are technically capable of issuing server or email certificates and that have been issued by this Root-CA are in the scope of regular audits.

Identification of the Sub-CA	Distinguished Name	SHA-256 fingerprint	Certificate Serial number OID	Applied policy	Service	EKU	Validy
D-TRUST SSL Class 3 CA 1 2009	CN = D-TRUST SSL Class 3 CA 1 2009, O = D-Trust GmbH, C = DE	6a c1 59 b4 c2 bc 8e 72 9f 3b 84 64 2e f1 28 6b cc 80 d7 75 fe 27 8c 74 0a da 46 8d 59 43 90 25	09 90 63	LCP and OVCP of ETSI EN 319 411-1	Server authentication	Not defined.	2009-11-12 until 2029-11- 05

**Table 1: Sub-CA's issued by the Root-CA**

**Modifications record**

<b>Version</b>	<b>Issuing Date</b>	<b>Changes</b>
Version 1	2018-12-07	Initial attestation

**End of the audit attestation letter.**