Audit Attestation for

MICROSEC Micro Software Engineering & Consulting Private Limited Company by Shares

Reference: AA2019061202

Essen, 12.06.2019

To whom it may concern,

This is to confirm that “TÜV Informationstechnik GmbH” has successfully audited the CAs of the “MICROSEC Micro Software Engineering & Consulting Private Limited Company by Shares” without critical findings.

This present Audit Attestation Letter is registered under the unique identifier number “AA2019061202” and consist of 6 pages.

Kindly find here-below the details accordingly.

In case of any question, please contact:

TÜV Informationstechnik GmbH
TÜV NORD GROUP
Certification Body
Langemarckstr. 20
45141 Essen, Germany
E-Mail: certuvit@tuvit.de
Phone: +49 (0) 201 / 8999-9

With best regards,

_________________________ _________________________
Dr. Anja Wiedemann Péter Máté, Erdősi
Reviewer Leadauditor

TÜV Informationstechnik GmbH – Member of TÜV NORD GROUP
Langemarckstrasse 20
45141 Essen, Germany
Phone: +49 201 8999-9
Fax: +49 201 8999-888
info@tuvit.de
www.tuvit.de

Commerzbank AG
SWIFT/BIC Code: DRES DEFF 360
IBAN: DE47 3608 0080 0525 4851 00

Management Board
Dirk Kretzschmar

Courtof jurisdiction:
Esenn HRB 11687
VAT ID: DE 176132277
Tax No.: 111/57062251

ACAB’c
Identification of the conformity assessment body (CAB):

| TÜV Informationstechnik GmbH¹, Langemarckstraße 20, 45141 Essen, Germany registered under HRB 11687, Amtsgericht Essen, Germany Accredited by DAkkS under registration D-ZE-12022-01² for the certification of trust services according to “DIN EN ISO/IEC 17065:2013” and “ETSI EN 319 403 V2.2.2 (2015-08)”.

Identification of the trust service provider (TSP):


Identification of the audited Root-CA:

| Microsec e-Szigno Root CA 2009

| Distinguished Name | E = info@e-szigno.hu  
| CN = Microsec e-Szigno Root CA 2009  
| O = Microsec Ltd.  
| L = Budapest  
| C = HU  

| SHA-256 fingerprint | 3c 5f 81 fe a5 fa b8 2c 64 bf a2 ea ec af cd e8e0 77 fc 86 20 a7 ca e5 37 16 3d f3 6e db f3 78  

| Certificate Serial number | 00 c2 7e 43 04 4e 47 3f 19  

| Applied policy | EVCP of ETSI EN 319 411-1  
| QCP-w of ETSI EN 319 411-2  

¹ In the following termed shortly „TÜViT“

This template (version 2 as of 2018-03-05) was approved for use by ACAB-c. It may only be used to without modification.
The audit was performed as full period-of-time audit at the TSP’s location in Budapest, Hungary. It took place from 2019-03-25 until 2019-03-27 and covered the period from 2018-09-15 until 2019-03-27.

The audit was performed according to the European Standards “ETSI EN 319 411-2, V2.1.1 (2016-02)”, “ETSI EN 319 411-1, V1.1.1 (2016-02)” and “ETSI EN 319 401, V2.1.1 (2016-02)” as well as CA Browser Forum Requirements “EV SSL Certificate Guidelines, version 1.6.8” and “Baseline Requirements, version 1.6.4” considering the requirements of the “ETSI EN 319 403, V2.2.2 (2015-08)” for the Trust Service Provider Conformity Assessment.

The audit was based on the following policy and practice statement documents of the TSP:

1. e-Szignó Certification Authority eIDAS conform Qualified Certificates for Website Authentication Certificate Policy, version: 2.9 as of 18.04.2019, Date of effect: 24.04.2019,
2. e-Szignó Certification Authority eIDAS conform Qualified Certificate for Website Authentication Certification Practice Statement, version: 2.9 as of 18.04.2019, Date of effect: 24.04.2019,

Pending major non-conformities have been closed, if any.

In the following areas minor Non-Conformities have been identified throughout the audit:

Findings with regard to ETSI EN 319 401:

7.11 Business continuity management

Documentation and implementation of the generation of the OCSP certificates within the BCP document shall be improved. [ETSI EN 319 401, Clause 7.11]

Findings with regard to ETSI EN 319 411-1:

6.2 Identification and authentication

Documentation and implementation of the internal guideline with regard to the verification of possible organizations shall be improved. [ETSI EN 319 411-1, Clause 6.2.2 a), g), i)] [EVCG, Clause 11.1.1, Point 1. (A)] [ETSI EN 319 411-1, Clause 6.2.2 a)]
All Minor Non-Conformities have been scheduled to be remediated within three months after the onsite audit and will be covered by a corresponding audit.

The Sub-CAs that have been issued by the aforementioned Root-CA and that have been covered by this audit are listed in table 1 below. The TSP assured that all non-revoked Sub-CA’s that are technically capable of issuing server or email certificates and that have been issued by this Root-CA are in the scope of regular audits.
<table>
<thead>
<tr>
<th>Identification of the Sub-CA</th>
<th>Distinguished Name</th>
<th>SHA-256 fingerprint</th>
<th>Certificate Serial number</th>
<th>Applied policy</th>
<th>Service</th>
<th>EKU</th>
<th>Validity</th>
</tr>
</thead>
<tbody>
<tr>
<td>Qualified e-Szigno TLS CA 2018</td>
<td>CN=Qualified e-Szigno TLS CA 2018, 2.5.4.97=VATHU-23584497, O=Microsec Ltd., L=Budapest, C=HU</td>
<td>f7 c7 e2 8f b5 e7 9f 31 4a aa c6 bb ba 93 2f 15 e1 a7 20 69 f4 35 d4 c9 e7 07 f9 3c a1 48 2e e3</td>
<td>00 b8 6e df 27 d8 f6 96 7c 64 70 63 0a</td>
<td>EVCP, QCP-w</td>
<td>server authentication</td>
<td>not defined</td>
<td>2018-07-31 until 2029-12-29</td>
</tr>
</tbody>
</table>

Table 1: Sub-CA’s issued by the Root-CA
Modifications record

<table>
<thead>
<tr>
<th>Version</th>
<th>Issuing Date</th>
<th>Changes</th>
</tr>
</thead>
<tbody>
<tr>
<td>Version 1.0</td>
<td>12.06.2019</td>
<td>Period-of-time attestation</td>
</tr>
</tbody>
</table>

End of the audit attestation letter.