



Audit Attestation for D-Trust GmbH

Reference: AA2020120305

Essen, 2020-12-03

To whom it may concern,

This is to confirm that "TÜV Informationstechnik GmbH" has audited the CAs of "D-Trust GmbH" without critical findings.

This present Audit Attestation Letter is registered under the unique identifier number "AA2020120305" and consists of 5 pages.

Kindly find here-below the details accordingly.

In case of any question, please contact:

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With best regards,

Dr. Silke Keller
Reviewer

Matthias Wiedenhorst
Leadauditor

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Management Board
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<p>Identification of the conformity assessment body (CAB):</p>	<p>TÜV Informationstechnik GmbH¹, Langemarckstraße 20, 45141 Essen, Germany registered under HRB 11687, Amtsgericht Essen, Germany Accredited by DAkKS under registration D-ZE-12022-01² for the certification of trust services according to “DIN EN ISO/IEC 17065:2013” and “ETSI EN 319 403 V2.2.2 (2015-08)”.</p>	
<p>Identification of the trust service provider (TSP):</p>	<p>D-TRUST GmbH, Kommandantenstraße 15, 10969 Berlin, Germany, registered under HRB 74346 B, Amtsgericht Charlottenburg (Berlin), Germany</p>	
<p>Identification of the audited Root-CA:</p>	<p>D-TRUST EV Root CA 1 2020</p>	
	<p>Distinguished Name</p>	<p>C=DE, O=D-Trust GmbH, CN=D-TRUST EV Root CA 1 2020</p>
	<p>SHA-256 fingerprint</p>	<p>08170D1AA36453901A2F959245E347DB0C8D37ABAABC56B81AA100DC958970DB</p>
	<p>Applied policy</p>	<p>ETSI EN 319 411-1 V1.2.2, EVCP ETSI EN 319 411-2 V2.2.2, QCP-w (Only with regard to key generation and protection requirements)</p>

¹ In the following termed shortly „TÜViT“

² <http://www.dakks.de/en/content/accredited-bodies-dakks?Regnr=D-ZE-12022-01-01>

The audit was performed as full period of time audit at the TSP's location in Berlin, Germany. It took place from 2020-09-28 until 2020-10-15 and covered the period from 2020-02-11 until 2020-10-07. The audit was performed according to the European Standards "ETSI EN 319 411-2, V2.2.2 (2018-04)", "ETSI EN 319 411-1, V1.2.2 (2018-04)" and "ETSI EN 319 401, V2.2.1 (2018-04)" as well as CA Browser Forum Requirements "EV SSL Certificate Guidelines, version 1.7.3" and "Baseline Requirements, version 1.7.2" considering the requirements of the "ETSI EN 319 403, V2.2.2 (2015-08)" for the Trust Service Provider Conformity Assessment.

The audit was based on the following policy and practice statement documents of the TSP:

1. Certificate Policy of D-TRUST GmbH, version 4.0 as of 2020-11-10, valid from 2020-11-12
2. TSPS – Trust Service Practice Statement D-TRUST, version 1.0 as of 2020-11-10, valid from 2020-11-12
3. Certification Practice Statement of the D-TRUST Root PKI, version 3.0 as of 2020-11-10, valid from 2020-11-12,
4. Certification Practice Statement of the D-TRUST CSM PKI, Version 3.0 as of 2020-11-10, valid from 2020-11-12

The TSP named the Sub-CAs that have been issued by the aforementioned Root-CA, that are listed in table 1 and that have been issued during the key ceremony on 2020-11-24.

No major or minor non-conformities have been identified during the audit.

To the best of our knowledge, no incidents have been occurred within D-TRUST EV Root CA 1 2020 hierarchy.

Distinguished Name	SHA-256 fingerprint	Applied policy	EKU
C=DE, O=D-Trust GmbH, CN=D-TRUST EV CA 1-20-1 2020	41C897473B0369FA74B1F4F9D7 F89129485C1A305C0719A867DC 8714E0870200	ETSI EN 319 411-1 V1.2.2, EVCP (Only with regard to key generation and protection requirements)	id-kp-serverAuth (1.3.6.1.5.5.7.3.1) id-kp-clientAuth (1.3.6.1.5.5.7.3.2)
C=DE, O=D-Trust GmbH, CN=D-TRUST EV CA 1-20-2 2020	BAE31EDF00DC714051BE729BB 048D84C7AE93660959EB8E4406 B320C2048B2D5	ETSI EN 319 411-2 V2.2.2, QCP-w (Only with regard to key generation and protection requirements)	id-kp-serverAuth (1.3.6.1.5.5.7.3.1) id-kp-clientAuth (1.3.6.1.5.5.7.3.2)

Table 1: Sub-CA's issued by the Root-CA or its Sub-CA's

Modifications record

Version	Issuing Date	Changes
Version 1.0	2020-12-03	Initial attestation

End of the audit attestation letter.